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ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR GHM-9520 US 7694 05/25/2000 Ron D. Katznelson 09/579,847 EXAMINER 24251 7590 08/03/2004 SKJERVEN MORRILL LLP MAI, TAN V 25 METRO DRIVE ART UNIT PAPER NUMBER **SUITE 700 SAN JOSE, CA 95110** 2124

DATE MAILED: 08/03/2004

#### Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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#### NOTICE OF ALLOWANCE AND FEE(S) DUE

24251

7590

08/03/2004

SKJERVEN MORRILL LLP 25 METRO DRIVE SUITE 700 SAN JOSE, CA 95110

EXAMINER				
MAI,	TAN V			
ART UNIT PAPER NUMBER				

2124 DATE MAILED: 08/03/2004

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/579,847	05/25/2000	Ron D. Katznelson	GHM-9520 US	7694

TITLE OF INVENTION: RATIONAL FREQUENCT SYNTHESIZER EMPLOYING DIGTAL COMMUTATORS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	nonprovisional YES		\$0	\$665	11/03/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as NO:

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- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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#### PART B - FEE(S) TRANSMITTAL

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Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This for appropriate. All further con indicated unless corrected maintenance fee notification	rrespondence including the l below or directed otherwise	smitting the ISSU Patent, advance or in Block 1, by (a	JE FEE and PUBLI ders and notification ) specifying a new	CATION FEE (if req n of maintenance fees correspondence addres	uired). Blocks 1 through 5 sl will be mailed to the current s; and/or (b) indicating a sepa	nould be completed where correspondence address as rate "FEE ADDRESS" for
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SAN JOSE, CA 93	,110					(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVE	NTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/579,847	05/25/2000		Ron D. Katznels	on	GHM-9520 US	7694
TITLE OF INVENTION: R	ATIONAL FREQUENCT S	YNTHESIZER EN	MPLOYING DIGTA	L COMMUTATORS		
APPLN. TYPE	SMALL ENTITY	ISSUE F	EE I	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665		\$0	\$665	11/03/2004
EXAM	MINER	ART UN	IT (	CLASS-SUBCLASS		
MAI,	TAN V	2124	-	708-270000	<del></del>	
	e address or indication of "F	ee Address" (37		the patent front page,		
CFR 1.363).  ☐ Change of corresponde	ence address (or Change of C	Correspondence	(1) the names of or agents OR, alt	up to 3 registered paternatively,	ent attorneys 1	
Address form PTO/SB/1:  "Fee Address" indicati PTO/SB/47; Rev 03-02 of Number is required.	22) attached. ion (or "Fee Address" Indicat or more recent) attached. Use	ion form	registered attorne	a single firm (having as by or agent) and the na it attorneys or agents. I will be printed.	mes of up to	
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PLEASE NOTE: Unless		low, no assignee	data will appear on	the patent. If an assis	gnee is identified below, the d	ocument has been filed for
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Please check the appropriate	e assignee category or catego	ries (will not be pr	inted on the patent):	☐ individual ☐	corporation or other private gr	oup entity 🚨 government
4a. The following fee(s) are		` 1	D. Payment of Fee(s)			
☐ Issue Fee				nount of the fee(s) is e	nclosed.	
	nall entity discount permitted	i)		it card. Form PTO-203		,
☐ Advance Order - # of Copies			☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to			
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-	(from status indicated above	•				
a. Applicant claims SN	MALL ENTITY status. See 3	7 CFR 1.27.	□ b. Applicant is n	ot claiming SMALL El	NTITY status. See, e.g., 37 CFI	R 1.27(g)(2).
NOTE: The Issue Fee and P		vill not be accepted	from anyone other		sly paid issue fee to the applica gistered attorney or agent; or th	
(Authorized Signature)		(Date)				
This collection of informatic an application. Confidential submitting the completed this form and/or suggestions	on is required by 37 CFR 1.3 ity is governed by 35 U.S.C. pplication form to the USPT s for reducing this burden. st	11. The information 122 and 37 CFR O. Time will vary tould be sent to the	on is required to obta 1.14. This collection depending upon the Chief Information	in or retain a benefit by is estimated to take 12 individual case. Any Officer, U.S. Patent an	the public which is to file (and 2 minutes to complete, includir comments on the amount of the d Trademark Office, U.S. Dep.	I by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce. P.O.

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•	09/579,847	KATZNELSON, R	N, RON D.	
Notice of Allowability	Examiner	Art Unit		
	Tan V Mai	2124		
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet (OR REMAINS) CLOSE or other appropriate cor GHTS. This application	D in this application. If not inclination in the inclination will be mailed in du	uded Le course. <b>THIS</b>	
1. This communication is responsive to 4/26/04.				
2. ☑ The allowed claim(s) is/are <u>1-15</u> .				
3. $igotimes$ The drawings filed on 26 April 2004 are accepted by the Ex	xaminer.			
4. Acknowledgment is made of a claim for foreign priority ur  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  5. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 6. CORRECTED DRAWINGS (as "replacement sheets") must (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date  (b) including changes required by the attached Examiner' Paper No./Mail Date  identifying indicia such as the application number (see 37 CFR 1) each sheet. Replacement sheet(s) should be labeled as such in the deposit of the proper in the deposit of the priority documents and the deposit of the priority documents are considered. Replacement sheet(s) should be labeled as such in the deposit of the priority documents and the deposit of the priority documents are considered. Replacement sheet(s) should be labeled as such in the deposit of the priority documents are considered. Replacement sheet(s) should be labeled as such in the deposit of the priority documents are considered.	e been received. e been received in Application to MENT of this application.  Initted. Note the attached es reason(s) why the oat story be submitted.  Is Amendment / Comment of the header according to 3 posit of BIOLOGICAL MENT of BIOLOGICAL MENT of the header according to 3 posit of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of BIOLOGICAL MENT of the header according to 3 posit of the header according to 3 posit of the header according to 3 posit of the	eation No  eived in this national stage apple of file a reply complying with the  EXAMINER'S AMENDMENT of th or declaration is deficient.  eview ( PTO-948) attached  on the drawings in the front (not to CFR 1.121(d).  IATERIAL must be submittee	requirements If NOTICE OF	
<ul> <li>Attachment(s)</li> <li>1. ☐ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/Paper No./Mail Date</li></ul>	6. ☐ Intervie Paper 08), 7. ☐ Examir	TAN		

Application No.

Applicant(s)

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PTO/SB/08A (08-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/579,847			
Filing Date	May 25, 2000			
First Named Inventor	Katznelson, Ron D			
Art Unit	2124			
Examiner Name	Maí, Tan V.			
Attorney Docket Number	P-9	フ		

				T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (F known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
men		<sup>US-</sup> 3,955,143	05-04-1976	Collins; John D.	
ſ		<sup>US-</sup> 4,206,425	06-03-1980	Nossen; Edward J.	
		US- 4,733,197	03-22-1988	Chow; Peter E. K.	
		<sup>US-</sup> 4,801,896	01-31-1989	Phillips; Donald E.	
		<sup>US-</sup> 4,871,981	10-03-1989	Franklin; Jeffrey W.	
		<sup>US-</sup> 5,059,924	10-22-1991	JenningsCheck; W.S.	
		us- 5,493,243	02-20-1996	Ghoshal; Sajol C.	
1		US- 5,731,719	03-24-1998	Wang; Yun-Che et al	DECENTE
V		<sup>US-</sup> 6,249,189	06-19-2001	Wu; Jieh-Tsorng	+ RECEIVE
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Whore Relevant Passages		
	ļ	Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	L <sub>o</sub>	
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Examiner Signature	Meri	Date Considered	7/26/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Mind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. 80x 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Art Unit: 2124

The following is an examiner's statement of reasons for allowance: the recorded 1. references do NOT teach or suggest the method /apparatus having "N-way commutator" and "rotating the M commutator sliders" features as recited in independent claims 1 and 3.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the 2. examiner should be directed to Tan V. Mai whose telephone number is (703) 305-9761. The examiner can normally be reached on Tue-Fri from 6:30am to 5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki, can be reached on (703) 305-9662. The fax phone numbers for the organization where this application or proceeding is assigned are:

After-final

(703) 746-7238

Official

(703) 746-7239

Non-Official/Draft (703) 746-7240.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

TAN V. MAI PRIMARY EXAMINED